

Application / Control Number: 10/809,719

Paper Dated: January 30, 2009

In Reply to USPTO Patent Examiner Andrew Nguyen mail dated 12/24/08 and 10/27/08
and USPTO telecom 01/29/09

Docket Number: 2003-04

Correction to the Specification filed 4/18/08

incorporated non synchronous high speed alternators with permanent magnets
[with]to the compressor/turbine rotor spool per initiating patent 6,314,717 offering
reduced cost and simplicity. The Adkins patent 3,187,180 first implemented [the
10 high speed alternator] a generator rotor [in] integration with [a single spool
compressor turbine rotor into]a gas turbine engine removing the need for gearbox
complexity and allowing for the first time frequency control independent of RPM
engine speed; but power electronics remained costly and technology elusive to change
high frequency and voltage to 60HZ@ 110 or 220 volts as an example. The 6,314,717
15 patent further introduced a low cost, low emissions single spool gas turbine with
affordable available technology and power electronics yielding the first low cost electrical
power generation system. Exclusively, to date small gas turbines <500 HP (not
microturbines) have been used in auxiliary power units (APU) with constant
speed generators or air cycle machines all incorporating gearboxes and used as
20 ground base gen-sets or in aircraft. The prior microturbine applications are
toward maximum power levels in stationary electrical power needs with a total
system cost too high for vehicular applications as well as specific start/shutdown
cycle to maximize heat exchanger mechanical stress/life. The total system

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ID and the aft bearing-seal housing [support] OD to supply oil to the bearing and act in

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partial as an oil squeeze film damper area.

The #1 spool housing module as a turbo charger has a compressed air exit that
communicates with the #2 compressor inlet and is positioned in the #1 [spool]
5. housing. The #1 rotor spool has bearings for rotation, a turbine rotor with blades
attached to a turbine hub and a compressor rotor with blades attached to a compressor
hub and as an assembly is housed within the #1 housing communicates with the
[integral] compressor housing. Bearings are mounted in the related #1 housing and have
oil squeeze film dampers. Also, a compressor diffuser and turbine nozzle [is]are attached
10 to the #1 [spool] housing aft end to create the #1 spool housing module. This #1 spool
housing module is a turbo charger and the #2 spool housing module is the electrical
power generating module and both connect to the combustor housing. A
combustor is within the combustor housing where fuel is supplied to develop heat
energy and drives the #1 and #2 turbine rotors of the related spools. The combustor
15 gas heat energy is directed first to the #2 turbine thru the #2 turbine nozzle, and
exiting this #2 turbine rotor the energy gas is ducted to the #1 turbine [via] and
thru a #1turbine nozzle. The #1 [spool] housing retains the #1 rotor spindle
[assembly] sleeve. The #1 rotor spindle [assembly] sleeve retains the bearings and
shaft seal [and] with the #1 rotor spool and is axially positioned and retained
20 within the #1[spool] housing from one end by a rotor retainer device.

The #1 [spool] housing receives the #1 spool module [spindle assembly] as
a package [(#1 rotor spool, shaft bearings, seals, rotor spindle sleeve, and rotor
retainer device)] and has a common circumferential radial space for oil supply to the
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bearings and the squeeze film damper rotor dynamic control area. Bearings have oil squeeze film damper on the cylindrical outside diameters of the bearings. Oil is supplied to the bearings and simultaneously thru the housing common dynamic clearances with seals. The #1 spool housing module system develops compressed air thru rotating blades, receives air from ambient supply and is driven by the #1 turbine from the hot gases exiting the #2 turbine discharge. A multi-piece seal between the 5 compressor and turbine minimizes compressor leakage to the turbine disk.

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An object of the present invention is to provide an electrical power generating system having two rotor spools, a turbo charger, and alternator rotor that will overcome the shortcomings of the prior art devices and fully utilize the 5 hybrid microturbine features (variable speeds for off design power [and increased cycle pressure ratio]).

Another object is to provide a electric power generating system with two rotor spools one as a turbo charger and the other spool incorporates an alternator to create electrical energy thru the use of a gas turbine engine. This will yield a compact, low weight, low emission, reduced cost, multi-fuel use, [vibration] 10 vibration free, high durability and black start capable hybrid microturbine. Also will remove the need for a recuperator/regenerator, decreasing the initial cost and increasing durability.

Another object is [the] to provide an electric power generating system
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Corrections to **BRIEF DESCRIPTION OF THE DRAWINGS**

5 Various other objects, features and attendant advantages of the present invention
will become fully appreciated as the same becomes better understood when
considered in conjunction with the accompanying drawings, in which like
reference characters designate the same or similar parts throughout the several
views, and (wherin) **wherein**:

10 Figure 1 is an [orthogonol] **orthogonal** -exploded- [pictora] **pictorial**
drawing of the present invention.

Figure 2 is a 2 dimensional-exploded-[pictoral] **pictorial** drawing of the
present invention.

Figure 3 is a half cross-sectional view 1st spool [rotor nodule and]
15 housing [assembly]**module with case attachment** of the present invention.

Figure 4 is a cross-sectional view of the 2nd [spool rotor] **spool housing**
module [and the housing] of the present invention **[with case attachment]**.

Figure 5 is a cross-sectional assembly view D figure 4 of the 2nd rotor-aft-
bearing-seal housing of the present invention.

20 Figure 6 is a cross-sectional view E Figure 3, [and] partial 1st spool [front
bearing] housing **module** of the present invention.

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[rotor/]spool [nodule] module assembly 40 communicates with the combustor housing 60 accepting hot gas energy 84 downstream of the 2nd spool/rotor turbine 122B. The Brayton Cycle begins with the air intake feature 24 of the #1 housing 20 and air flows thru the 1st compressor 70 of 1st rotor spool 42 and 5 discharges out the compressor exit 53 of the compressor diffuser 34 and scroll 28 and on to the 2nd rotor inlet compressor duct 150. The 1st stage turbine nozzle 88 in close proximity to the 1st stage turbine 71 with case insulation insert 36 to minimize radiant heat from the turbine to the compressor-diffuser 34, directs and accelerates hot gases 84 toward the bladed turbine rotor 71 to drive the integral 10 compressor rotor 70. A thrust bearing 66 [is ID] attached to the rotor 42 compressor shaft and secured with nut/washer 72 and [OD bearing race one face is captured axially in the rotor spindle sleeve 54] [] thru a common outer bearing race retainer[] [and the other side is adjacent to the rotor retainer device] 15 74 [having] and with a common [ring retainer] retention means 76, rotor spindle sleeve 54 with rotor threaded retainer 74 is axially positioned within the housing 20 having receiving inner thread for retainer 74 and secured with nut 26. The housing 20 receives the 1st spool module assembly 40 as a dynamically balanced system and has a relative fluid dynamic gap 30B, 30A between sleeve 54 OD of the 1st spool module 40 and #1 housing 20 with supply oil 67 to bearing transfer 20 having seals 52 in spindle sleeve 54 [yielding] and with 20 controlled oil film dynamic gap 30B, 30A oil squeeze film dampers. Oil drains away from bearings 66 and 58 via channels 30 and 105. Also, as of page -20-

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pictorial [pictoral] drawing of the present invention hybrid microturbine. Figures 3 and 4 are supportive, depicting ¼ cross-sectional assemblies of the 1st and 2nd spool modules and housings. The 1st spool [rotor spindle] module assembly 40 is dynamically balanced as a system and then located within the #1 housing 20 having relative case to spool assembly dynamic clearances with seals and oil squeeze film damping. The #1 spool housing module 20/40 is attached to the combustor housing 60 and secured with fasteners 22. The combustor 86A is incorporated in the housing 60 to develop fueled energy via fuel supply 164 and a turbine nozzle 88 directs the hot gases to the 1st spool turbine wheel 122B to drive the integrated spool compressor 122A and alternator rotor 144. The heat shield 106 minimizes the radiant heat to the compressor-diffuser 158 within housing 140. A multi piece seal plate 124 controls cooling air flow to the 2nd rotor turbine hub 122B. The hot energy gas 84 exiting the turbine wheel 122B is ducted 82 to the #1 turbine nozzle 88 where it is then accelerated and directed to the 1st turbine blades 71 which in turns drives the 1st rotor spool [compressor] 42 with compressor blades 70 to yield pressure and air flow. The heat shield 36 minimizes the radiant heat to the compressor diffuser 34 adjacent to and within the housing 20. A multi-piece seal plate 48 separates the turbine supply hot gases [[86B[]] [84]] from the 1st rotor spool 42 compressor [[122A[]] [71, diffuser 34]] and regulates the cooling air flow to the turbine hub 122 and can be retained between the turbine nozzle and diffuser either by radial

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pins like 104 of figure 2 or simply sandwiched/pinched between the back of the diffuser [158] [34] of figure 2 and turbine nozzle 88. Air flow for this gas turbine engine enters/begins at the intake 24, then flows into the compressor wheel where blades [122A] [70] of spool 40 yielding high blade [70] exit velocity, and thru the 5 diffuser 34 where a high static pressure is attained thru reduce velocity and then into and thru scroll 28 of housing 20. With pressure and volume the air exits 53 [the] of scroll 28 [at 32] then into the #2 compressor spool inlet duct 150. Oil supply and drainage are not shown for simplification. The structure material can be metal or non-metal, the scroll or the compressor exit area past the diffuser 10 could be of various forms other than round cross section and or about a constant radial position. The housing could be cooled by means of a channeled fluid as an intercooler for reducing the air temperature thus increases the power density. Means to attach this housing 20 to the hot gas section can be other than a flange/bolt arrangement for example, a typical turbocharger clamp. This hybrid 15 microturbine has higher power density over prior art.

The 1st [rotor] spool module [assembly] 40 [is a module and] is retained in the #1 housing 20, and develops compressed air in this first stage from hot gas energy [33] [84] from the 2nd stage turbine 122B exiting side to drive the 1st stage turbine rotor. Figure 1 shows interconnections of the 1st [rotor] spool housing module [assembly] 40A, 2nd spool housing module 140A ,[[and]] combustor 20 86A and combustor housing. Figure 2 shows the related details Figure 1. Figure 3 depicts the 1st spool [assembly] module 40 positioned within the [case]

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86A, the #1 spool housing module 40A and the #2 spool housing module [a] 140A are retained. The hot gas energy 86B thru the nozzle 108 drives the #2 turbine 122B of #2 [rotor] spool module 120 and serially ducted 82 hot gases 84 pass thru nozzle 88 to drive the #1 turbine 71 of #1 spool 42.

5 The combustor 86A receives fuel from injector 164 and is combusted within yielding energy-resultant hot gases 86B. The #1 spool housing module 40A is attached to the aft end of the [scroll]duct 82 of housing 60 with integral turbine nozzle 88 and [is] sandwiched between[this nozzle and] the diffuser 34 is the multi-piece seal plate 48 and heat shield 36. The forward open end of housing 10 60, receives and mounts the #2 spool housing module 140A.

The housing 60 radially inboard area about the exhausting area 84 are located radial fins 87 aligned to the passing combustor dilution air flow such to remove case heat from the static seal land and adjacent assembled seals 102 and could support the combustor inner diameter, also this fin area could be used to regulate 15 the dilution air flow to the exiting end of the combustor 86A. If the turbine nozzle were not [intergral] integral to the case 60 addition aft seals like 102 could be integrated along with radial pins like 104 reflective of the #2 nozzle retention depicted. The housing structure would see temperatures as high as 1350F and 20 could be cast and or of sheet metal/ bar stock construction. The combustor case 60

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diffuser 158 and turbine nozzle 108. Seal [102] 120 is installed into the nozzle 108 and prevents air 89 leakage into the [scroll 82] duct area [86] [82] 84 of scroll 82.

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10 Hot gases exiting the nozzle 108 creates power thru the 122B turbine of rotor 122
and exits the turbine axially into the case 82 area of [scroll] case 60. The turbine
rotor 71 extracts energy from the hot energy gas stream 84 and converts to
rotational power.

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and iron core laminats system 80. The 2nd rotor spool [assembly] module 120
can be viewed in figures 1,2,4,5,7,12 and 17. This spool accepts fueled energy
expanding hot gases 86B thru the 2nd turbine nozzle 108 directing/accelerating
5 onto the turbine blade 122B to drive the compressor 122A and alternator 144.
The compressor shaft 122, compressor 122A and turbine122B are conventionally
welded like turbocharger of the automotive field for reduced cost. A multi-piece
seal 124 is positioned to regulate the compressor cooling air to the turbine disk/
blade122B area. The bearing-seal housing 126 in [module] 2nd [rotor] spool [assembly]
10 module 120 is integrated between the alternator rotor 144 and [alternator]
compressor rotor 122A and has air and oil seals 130 of figure 5. The module
120 allows for final balance without rotor disassembly related case installation.
The bearing-seal housing 126 with the preferred embodiment is depicted in figure
12 and retains the aft bearing 125, associated oil seals 147, anti-rotation pin 143,
15 axial retention spring washer 145 and retainer146. A controlled radial gap 63A
is incorporated between the bearing 125 and housing 126 to allow for an oil
squeeze film damping system to control the rotor dynamics of rotor spool module
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[120, shaft] 122. As an alternative to figure 12 bearing arrangement, figure [19]

[19A] could be implemented where the hydrodynamic bearing 125 with 20 cylindrical gap [63] 63A controlled oil squeeze film could be retained circumferentially by a pin [143] 73 axially positioned (or radially) with the seals

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126 and seal 79 sides, incorporating a bearing/seal retention ring [77] [146], seal ring 79 and spring washer [78] [145]. An oil film damping system in Fig. 19B is also considered in the radial gap 63A between the rotor spindle sleeve [housing]

554 and bearing 58. An oil squeeze film damping system is also depicted in the

5 cylindrical/radial gap between housing 126 and #2 housing 140 with associated seals 130. Oil is supplied to the bearing 125 thru channel 149 and radial squeeze

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via gravity means. An air labyrinth seal 132 and seal O-rings 128 are mounted in

bearing-seal housing 126 and retained axially via snap ring 148 with circumferential

20 retained via the O-rings. The lab seal 132 limits compressor air duct 168 leakage into

the bearing-seal housing 126. The radial holes 129A of seal 132 in figure 12 allow for air leakage to go overboard housing 126 connected and housing 140 channel 131

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connect to allow overboard flow. In figure 5 both the lab seal 132 and bearing 125

with [retain] retainer 138 could be hard mounted to the housing

126 allowing oil squeeze film damper only between support 126 and 140

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housing offering further simplicity. Axial thrust load from 168 compressor
5 pressure would require a means to limit resistance at face flange 133.

The 2nd spool rotor bearing support assembly 160 of figure 18 retains the thrust
bearing 186, positions the rotor shaft 122 of the #2 spool module 120 and
incorporates oil squeeze damping between the bearing 186 outer race and

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the rotor 122 of [module] the #2 spool module 120 with nut 188. The alternator
15 rotor 144 shoulders to the bearing inner race 186A. The bearing support assembly
160 is retained to the 2nd housing 140 using bolts 184. The bearing 186 and #2
[rotor] spool module 120 are axially positioned thru shims 198. The [2nd]#2 spool
housing module 140A of figure 2 includes: an alternator stator module 80 for
electrical power output [has] having a cooling sleeve 94, stator wires 97, iron
20 laminats 92, stator retention screws 99, and power output lugs 212 fig. 13 within a
lug / insulation assembly block 180, insulation block detail 202, an air start
housing cavity 156 of figure 4 with an air supply port 154 of figure 7 and to

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stator module 80 of figure 1, 2, 4, 18 and 13 has magnet wires 97 and iron core
laminats 92 to provide electrical energy output from the relative rotational
10 motion between the alternator 144 of the 2nd rotor spool [module] 120 and
electrical stator module 80. A heat exchanger 94 using oil media is

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electrical phase requirement the lead/ wire lug quantity [[216] 212] and stator wire 97 could be 1, 3, 6 or more. Terminal lug 212 is mechanically 208 screwed and soldered to the stator lead wire 97 and with any quantity of terminals [21] depending on the stator phase requirement. The [lug] lug 212 has o-ring seal 208 5 at one end and retained within the terminal block 202. Round holes in the block

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15 The 1st spool housing module 40A of reference figures 1, 2, 3, 6, and 16 is the turbo charging stage of the invented hybrid microturbine. The 1st spool [rotor] module 40 a rotative device initiates the inlet air flow from atmosphere. The resultant increased air pressure of this first stage is delivered to the 2nd spool inlet 168 via the exit port 32[, flow 53] and transition duct 150 of [case]housing 140. The 20 2nd spool [rotor] compressor 122A exits air flow 89 communicates with the combustor 86A and combustor housing 60 and within [th] the injected combustor fuel from injector 164 is mixed with air and ignited to create a continuous flame of

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